

FORM PTO-1390 (Modified)
(REV 12-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES

14.0125

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

CONCERNING A FILING UNDER 35 U.S.C. 371

09/807719

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/IB99/01724

21 October 1999

3 November 1998

TITLE OF INVENTION

SEISMIC DATA ACQUISITION METHOD AND APPARATUS

APPLICANT(S) FOR DO/EO/US

Jacques Joseph Henri ORBAN, Kjell HATTELAND

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☐ A copy of the International Search Report (PCT/ISA/210).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

An UN-SIGNED copy of the oath/declaration

A Certification under 37 CFR 1.10 (certificate of mailing by Express Mail)

U.S. APPLICATION NO. (IF KNOWN SEE 1.5) <div style="font-size: 2em; font-weight: bold; margin-left: 50px;">09/8077</div>		INTERNATIONAL APPLICATION NO. <div style="text-align: center; font-weight: bold;">PCT/IB99/01724</div>		ATTORNEY'S DOCKET NUMBER <div style="text-align: center; font-weight: bold;">14.0125</div>	
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21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: center; font-weight: bold; margin-top: 10px;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY																																																																														
<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). </div> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:15%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:10%;">RATE</th> <th style="width:15%;"></th> <th style="width:10%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>2 - 20 =</td> <td>0</td> <td>x \$18.00</td> <td></td> <td>\$0.00</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$80.00</td> <td></td> <td>\$0.00</td> </tr> <tr> <td colspan="4">Multiple Dependent Claims (check if applicable).</td> <td><input type="checkbox"/></td> <td>\$0.00</td> </tr> <tr> <td colspan="5" style="text-align: right; font-weight: bold;">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$860.00</td> </tr> <tr> <td colspan="5">Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).</td> <td><input type="checkbox"/> \$0.00</td> </tr> <tr> <td colspan="5" style="text-align: right; font-weight: bold;">SUBTOTAL =</td> <td>\$860.00</td> </tr> <tr> <td colspan="5">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).</td> <td>+ \$0.00</td> </tr> <tr> <td colspan="5" style="text-align: right; font-weight: bold;">TOTAL NATIONAL FEE =</td> <td>\$860.00</td> </tr> <tr> <td colspan="5">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).</td> <td><input type="checkbox"/> \$0.00</td> </tr> <tr> <td colspan="5" style="text-align: right; font-weight: bold;">TOTAL FEES ENCLOSED =</td> <td>\$860.00</td> </tr> <tr> <td colspan="5" rowspan="2"></td> <td style="text-align: center;">Amount to be:</td> </tr> <tr> <td style="text-align: center;">refunded</td> </tr> <tr> <td colspan="5"></td> <td style="text-align: center;">charged</td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	2 - 20 =	0	x \$18.00		\$0.00	Independent claims	1 - 3 =	0	x \$80.00		\$0.00	Multiple Dependent Claims (check if applicable).				<input type="checkbox"/>	\$0.00	TOTAL OF ABOVE CALCULATIONS =					\$860.00	Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).					<input type="checkbox"/> \$0.00	SUBTOTAL =					\$860.00	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).					+ \$0.00	TOTAL NATIONAL FEE =					\$860.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).					<input type="checkbox"/> \$0.00	TOTAL FEES ENCLOSED =					\$860.00						Amount to be:	refunded						charged
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<input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 07-1078 in the amount of \$860.00 to cover the above fees. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 07-1078 A duplicate copy of this sheet is enclosed.	<div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;"> SIGNATURE </div> <div style="border: 1px solid black; padding: 5px;"> NAME John H Bouchard <hr/> 29,286 <hr/> REGISTRATION NUMBER <hr/> April 17, 2001 <hr/> DATE </div>
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NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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April 17, 2001

BOX PCT
Commissioner for Patents
Washington, D.C. 20231

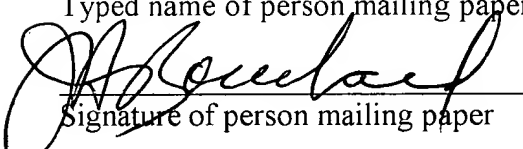
Sir:

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that the enclosed "Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing under 35 USC 371" and the attached papers being transmitted therewith, are being deposited with the United States Postal Service on the date APRIL 17, 2001 in an envelope indicating "Express Mail Post Office to Addressee" under a Mailing Label having Number EF274817375US and are addressed to: Box PCT, Commissioner for Patents, Washington, D.C. 20231

John H. Bouchard

Typed name of person mailing paper



Signature of person mailing paper